## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications) For Design, Provisional, or Utility Applications

	ł			COMPLETION Under				<u> </u>
In re <u>PATENT APPLICATION</u> of Inventor(s): ENGEL et al.			of	Ru	le 53(f)		Attı	n: Application Divisio
	oln. N	o.: <u>09</u>		3,455	Atty.Di	ct. PM	264671	99/06 PH/Sa
File	٠d٠	Series Code ①	Seria	I No. û			M#	Client Ref
Title	e: n. Ass shingt	March 10, 2000 METHOD FOR A PR  t. Commisioner of Pa on,DC 20231  ne following <u>complet</u>	itents Jul	THANGARA	Date:	July 11, 200	00	
1.		ce to File Missing P		⊠ copy attach		not yet r		
2.	⊠s	Signed Declaration at	tached.	⊠ Original		☐ Facsimil	۱ e/Copy	
	(Always "X" box 2 if filling signed Declaration and "X" box 2A only if top box of the Declaration is X'd and file application copy, or "X" box 2B only if none of the top three boxes of the Declaration is X'd.)							
	2A.	☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.						
	2B.	☐ The original app inventor executed b	lication as f	iled in the PTO o	n the abo	ve filing date		ion which each

4. Letter filing formal drawing attached.

b.

Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned. 5.

No of Sheets

☐ Specification originally filed in non-English language; hence verified translation attached of:

6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date		Application No.	Filing Date
(1) 60/127,241	March 31, 1999	(2)	60/131,632	April 28, 1999
(3)		(4)	,	715111 20, 1000
(5)		(6)		

pages of Specification(only spec. & claims)

FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in 7.

☐ Abstract

Fig(s).

Drawing(s)

Applicati n N .	Filing Date	Application N .	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

3.

in U.S. Application No/ attached;	reviously filed (dat	e)							
		under Dules O. 8	07						
(No.) Vermed Statement(s) establishing small entity status under Rules 9 & 27.									
11.  Attached:									
12.  Preliminary Amendment:									
THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILE	THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED								
	Large/Small Entity	7	Fee Code						
13. Basic Filing Fee			106/26						
Not Design Application	\$690/\$345	+690	101/201						
14. Total Effective Claims 24 minus 20 = 4  15. Independent Claims 1 minus 3 = 0	x \$18/\$9	+72	103/203						
	x \$78/\$39	+0	102/202						
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line <u>blank</u> if this is a <u>reissue</u> application)	\$260/\$130	+0	104/204						
17. Surcharge for filing Declaration/filing fee late  18. FILING FI	\$130/\$65	+130	105/205						
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is attached (2mos) is attached (2mos)			116/216						
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21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee	\$130	+0	118/218 139						
22. If "assignment" box 5 is X'd, add recording fee.	\$40	+40	581						
23. Petition Fee for	\$130	+0	301						
24. TOTAL FE	E ENCLOSED =								
TOTALTE	L LINGLOSED -	\$932							
Our Deposit Account No. 03-3975									
Our Order No11468									
C# M#									
CHARGE STATEMENT: The Commissioner is bereby authorized to above		Of any mineing and							
fee(s) filed, or asserted to be filed, or which should have been filed to charge any fee specifically under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application.	paper filed hereafter,	and which may be re	isumicient equired						
credit any overpayment, to our Account/Order Nos shown in the heading bereatful and the same in the heading bereatful	and the resulting Officia	il document under F	Rule 20, or						
This CHARGE STATEMENT <u>does not authorize</u> charge of the <u>issue fee</u> until/unless an is:	sue fee transmittal for	rnis sneet is attach m is filed.	ied.						
Pillsbury Madison & Sutro LLP									
Intellectual Property Group									
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1100 New York Avenue, NW Arty: Ann S. Hobbs	Rea. M	No. 36830							
Ninth Floor Weshington BO 2000 0010									
Washington, DC 20005-3918 Sig:	17/180 Fax:	(202) 822-0	944						
Tel: (202) 861-3000	T 1:	(202) 861-3							
Atty/Sec: ASH/nmw									
NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments									

## file:///c:/APPS/preexam/correspondence/

# FORMAZITIES LETTER \*OC000000005114747\*



#### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/523,455

03/10/2000

Jurgen Engel

PM 264671

Pillsbury Madison & Sutro LLP Intellectual Property Group 1100 New York Avenue NW Ninth Floor Washington, DC 20005-3918



Date Mailed: 05/15/2000

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status
- Total additional claim fee(s) for this application is \$72.
  - \$72 for 4 total claims over 20.
- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 892.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PÁRT 2 - COPY TO BE RETURNED WITH RESPONSE

07/14/2000 GTEFFERA 00000077 09523455

01 FC:101 02 FC:103

690.00 OP

03 FC:105

72.00 OP 130.00 OP